

## EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	5	((("6338047") or ("6282520") or ("6184293") or ("6092056") or ("5704045")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/16 07:28
S2	5	((("6338047") or ("6282520") or ("6148293") or ("6092056") or ("5704045")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/16 07:31
S3	0	((2002/0107771) or (2002/0116325) or (2002/0156709) or (2001/0011243) or (2001/0018677)).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/16 07:33
S4	5	((("20020107771") or ("20020116325") or ("20020156709") or ("20010011243") or ("20010018677")).PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/16 07:46
S5	1	("6360210").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/16 08:29
S6	151251	(Manag\$3 or guid\$4 or direct\$3 or operat\$3 or run\$ or administer\$) near2 (bond\$ or securit\$7 or investment\$ or collateral\$4 or debt\$ or obligation\$ or portfolio\$ or asset\$ or risk\$ or tranche\$ or index)	US-PGPUB; USPAT	OR	ON	2007/08/16 08:35
S7	3659181	(Adaptable or variable or adjustable or progressive or chang\$3)	US-PGPUB; USPAT	OR	ON	2007/08/16 08:30
S8	5181525	(Form or arrangement or design or configuration or format)	US-PGPUB; USPAT	OR	ON	2007/08/16 08:59
S9	5080740	(Connect\$3 or associat\$3 or relate or tie\$)	US-PGPUB; USPAT	OR	ON	2007/08/16 08:31
S10	91290	Agreement	US-PGPUB; USPAT	OR	ON	2007/08/16 08:32
S11	578	S6 same S10	US-PGPUB; USPAT	OR	ON	2007/08/16 08:32
S12	124	S7 same S11	US-PGPUB; USPAT	OR	ON	2007/08/16 08:32
S13	80	S8 same S12	US-PGPUB; USPAT	OR	ON	2007/08/16 08:33
S14	60	S9 same S13	US-PGPUB; USPAT	OR	ON	2007/08/16 08:59

## EAST Search History

S16	1872818	bond\$ or securit\$7 or investment\$ or collateral\$4 or debt\$ or obligation\$ or portfolio\$ or asset\$ or risk\$ or tranche\$ or index or CDO	US-PGPUB; USPAT	OR	ON	2007/08/16 09:04
S17	3911692	flexible or adaptable or variable or adjustable or progressive or chang\$3	US-PGPUB; USPAT	OR	ON	2007/08/16 09:04
S18	5334274	structur\$3 or form or arrangement or design or configuration or format	US-PGPUB; USPAT	OR	ON	2007/08/16 09:05
S19	4093063	chang\$3 or replac\$5 or exchang\$3 or swap or rearrang\$5 or switch\$3	US-PGPUB; USPAT	OR	ON	2007/08/16 09:12
S20	1512049	S16 and S19	US-PGPUB; USPAT	OR	ON	2007/08/16 09:06
S21	552068	portfolio or index	US-PGPUB; USPAT	OR	ON	2007/08/16 09:07
S22	552068	portfolio or index	US-PGPUB; USPAT	OR	ON	2007/08/16 09:09
S23	5334274	structur\$3 or form or arrangement or design or configuration or format	US-PGPUB; USPAT	OR	ON	2007/08/16 09:09
S25	193987	S22 same S23	US-PGPUB; USPAT	OR	ON	2007/08/16 09:11
S28	4150303	chang\$3 or replac\$5 or exchang\$3 or swap or rearrang\$5 or switch\$3 or alter or modify	US-PGPUB; USPAT	OR	ON	2007/08/16 09:12
S29	42873	S25 same S28	US-PGPUB; USPAT	OR	ON	2007/08/16 09:13
S30	4879396	Manag\$3 or guid\$4 or direct\$3 or operat\$3 or run\$ or administer	US-PGPUB; USPAT	OR	ON	2007/08/16 09:14
S31	552068	portfolio or index	US-PGPUB; USPAT	OR	ON	2007/08/16 09:14
S34	552068	portfolio or index	US-PGPUB; USPAT	OR	ON	2007/08/16 09:15
S35	5334274	structur\$3 or form or arrangement or design or configuration or format	US-PGPUB; USPAT	OR	ON	2007/08/16 09:15
S36	193987	S34 same S35	US-PGPUB; USPAT	OR	ON	2007/08/16 09:15
S37	4150303	chang\$3 or replac\$5 or exchang\$3 or swap or rearrang\$5 or switch\$3 or alter or modify	US-PGPUB; USPAT	OR	ON	2007/08/16 09:15
S38	42873	S36 same S37	US-PGPUB; USPAT	OR	ON	2007/08/16 09:15
S39	3887	cdo	US-PGPUB; USPAT	OR	ON	2007/08/16 09:27

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S40	168	S34 same S39	US-PGPUB; USPAT	OR	ON	2007/08/16 09:18
S41	151251	(Manag\$3 or guid\$4 or direct\$3 or operat\$3 or run\$ or administer\$) near2 (bond\$ or securit\$7 or investment\$ or collateral\$4 or debt\$ or obligation\$ or portfolio\$ or asset\$ or risk\$ or tranche\$ or index)	US-PGPUB; USPAT	OR	ON	2007/08/16 09:18
S42	16	S40 same S41	US-PGPUB; USPAT	OR	ON	2007/08/16 09:19
S43	2204025	S35 same S37	US-PGPUB; USPAT	OR	ON	2007/08/16 09:28
S44	10	S40 same S43	US-PGPUB; USPAT	OR	ON	2007/08/16 09:28
S45	552068	portfolio or index	US-PGPUB; USPAT	OR	ON	2007/08/16 09:38
S46	3887	cdo	US-PGPUB; USPAT	OR	ON	2007/08/16 09:38
S47	168	S45 same S46	US-PGPUB; USPAT	OR	ON	2007/08/16 09:38
S48	151251	(Manag\$3 or guid\$4 or direct\$3 or operat\$3 or run\$ or administer\$) near2 (bond\$ or securit\$7 or investment\$ or collateral\$4 or debt\$ or obligation\$ or portfolio\$ or asset\$ or risk\$ or tranche\$ or index)	US-PGPUB; USPAT	OR	ON	2007/08/16 09:43
S49	3659181	(Adaptable or variable or adjustable or progressive or chang\$3)	US-PGPUB; USPAT	OR	ON	2007/08/16 09:43
S50	91290	Agreement	US-PGPUB; USPAT	OR	ON	2007/08/16 09:43
S51	578	S48 same S50	US-PGPUB; USPAT	OR	ON	2007/08/16 09:43
S52	124	S49 same S51	US-PGPUB; USPAT	OR	ON	2007/08/16 09:43
S53	151251	(Manag\$3 or guid\$4 or direct\$3 or operat\$3 or run\$ or administer\$) near2 (bond\$ or securit\$7 or investment\$ or collateral\$4 or debt\$ or obligation\$ or portfolio\$ or asset\$ or risk\$ or tranche\$ or index)	US-PGPUB; USPAT	OR	ON	2007/08/16 09:45
S54	3659181	(Adaptable or variable or adjustable or progressive or chang\$3)	US-PGPUB; USPAT	OR	ON	2007/08/16 09:45
S55	5181525	(Form or arrangement or design or configuration or format)	US-PGPUB; USPAT	OR	ON	2007/08/16 09:45

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S56	91290	Agreement	US-PGPUB; USPAT	OR	ON	2007/08/16 09:45
S57	578	S53 same S56	US-PGPUB; USPAT	OR	ON	2007/08/16 09:45
S58	124	S54 same S57	US-PGPUB; USPAT	OR	ON	2007/08/16 09:50
S59	80	S55 same S58	US-PGPUB; USPAT	OR	ON	2007/08/16 09:45
S60	734	(portfolio or basket or manag\$5) near5 (debt\$1 or cdo or collateral\$4) or debt near2 index	US-PGPUB; USPAT	OR	ON	2007/08/16 10:25
S61	4714884	chang\$3 or adjust\$4 or modify or modifie\$1 or modification\$1 or variab\$2 or vary or (varie\$1 or varying or switch\$3 or trade\$1 or trading\$1 or substitut\$4) near10 (debt or index or portfolio or cdo)	US-PGPUB; USPAT	OR	ON	2007/08/16 10:28
S62	731	S60 and S61	US-PGPUB; USPAT	OR	ON	2007/08/16 10:28
S63	180	S60 same S61	US-PGPUB; USPAT	OR	ON	2007/08/16 14:17
S64	3	asset adj management adj agreement	US-PGPUB; USPAT	OR	ON	2007/08/16 17:14
S65	3	(list or ranking or array) same (debt near3 obligation) same (index\$3 or pointer)	US-PGPUB; USPAT	OR	ON	2007/08/16 15:09
S66	24	(debt near3 obligation) same (index or pointer)	US-PGPUB; USPAT	OR	ON	2007/08/16 15:09
S67	11	cdo same manag\$5 same (index or asset)	US-PGPUB; USPAT	OR	ON	2007/08/16 17:08
S68	376	chase.as.	US-PGPUB; USPAT	OR	ON	2007/08/16 17:10
S69	25	(morgan adj chase).as.	US-PGPUB; USPAT	OR	ON	2007/08/16 17:10
S70	2943	asset adj management	US-PGPUB; USPAT	OR	ON	2007/08/16 17:14
S71	11	cdo same hedge	US-PGPUB; USPAT	OR	ON	2007/08/16 17:17
S72	1714	portfolio near2 manage\$4	US-PGPUB; USPAT	OR	ON	2007/08/17 08:47
S73	3811985	active\$2 or flexible or adjustable or adjust\$3 or modify or convert\$4 or variable or versatil\$3	US-PGPUB; USPAT	OR	ON	2007/08/17 08:49
S74	387	S72 same S73	US-PGPUB; USPAT	OR	ON	2007/08/17 08:50

## EAST Search History

S75	546801	index	US-PGPUB; USPAT	OR	ON	2007/08/17 08:50
S76	61	S74 same S75	US-PGPUB; USPAT	OR	ON	2007/08/17 09:06
S77	347	debt adj (index or obligation\$)	US-PGPUB; USPAT	OR	ON	2007/08/17 09:07
S78	3	S74 same S77	US-PGPUB; USPAT	OR	ON	2007/08/17 09:09
S79	36	S74 and S77	US-PGPUB; USPAT	OR	ON	2007/08/17 09:17
S80	1500913	alternative	US-PGPUB; USPAT	OR	ON	2007/08/17 09:17
S81	122	S72 same S80	US-PGPUB; USPAT	OR	ON	2007/08/17 09:19
S82	0	S77 same S81	US-PGPUB; USPAT	OR	ON	2007/08/17 09:17
S83	104418	(creat\$3 or generat\$3) same (portfolio or debt near S73 index)	US-PGPUB; USPAT	OR	ON	2007/08/17 09:21
S84	3887	cdo	US-PGPUB; USPAT	OR	ON	2007/08/17 09:20
S85	320	S83 and S84	US-PGPUB; USPAT	OR	ON	2007/08/17 09:20
S86	33	S83 same S84	US-PGPUB; USPAT	OR	ON	2007/08/17 09:20
S87	30602	(creat\$3 or generat\$3) near4 (portfolio or debt near S73 index)	US-PGPUB; USPAT	OR	ON	2007/08/17 09:22
S88	34	S87 same (active\$2 near2 manage\$)	US-PGPUB; USPAT	OR	ON	2007/08/17 09:27
S89	138	(cdo or debt adj obligation)same (balanc\$3 or rebalanc\$3)	US-PGPUB; USPAT	OR	ON	2007/08/17 09:28
S90	9	S72 and S89	US-PGPUB; USPAT	OR	ON	2007/08/17 09:33
S91	36	goldman adj sachs.as.	US-PGPUB; USPAT	OR	ON	2007/08/17 09:35
S92	2	S72 and S91	US-PGPUB; USPAT	OR	ON	2007/08/17 09:35
S93	2	("1445719").PN.	US-PGPUB; USPAT; FPRS; EPO; JPO	OR	OFF	2007/08/20 09:28
S94	0	("200559781").PN.	US-PGPUB; USPAT; FPRS; EPO; JPO	OR	OFF	2007/08/20 09:28

## EAST Search History

S95	1	("0559781").PN.	US-PGPUB; USPAT; FPRS; EPO; JPO	OR	OFF	2007/08/20 09:30
S96	4	trading adj debt adj instruments	US-PGPUB; USPAT; FPRS; EPO; JPO	OR	ON	2007/08/20 09:32
S97	1	("20050010534").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2007/08/20 09:32
S98	18	(US-20050010534-\$ or US-20020116325-\$ or US-20010011243-\$ or US-20020107771-\$ or US-20020019793-\$ or US-20030014344-\$ or US-20010025266-\$ or US-20030088492-\$).did. or (US-6338047-\$ or US-6360210-\$ or US-5742775-\$ or US-5946667-\$ or US-6484151-\$ or US-6112181-\$ or US-5819238-\$ or US-7231364-\$). did. or (EP-1445719-\$ or WO-2005059781-\$).did.	US-PGPUB; USPAT; EPO	OR	ON	2007/08/20 14:13
S99	1	S98 and tranche	US-PGPUB; USPAT	OR	ON	2007/08/20 14:14
S10 0	6	tranche adj structure	US-PGPUB; USPAT	OR	ON	2007/08/20 16:26
S10. 1	118	portfolio near3 rebalancing	US-PGPUB; USPAT	OR	ON	2007/08/20 16:27
S10 2	35	time same S101	US-PGPUB; USPAT	OR	ON	2007/08/20 17:41
S10 3	33267	(index or portfolio) near3 change	US-PGPUB; USPAT	OR	ON	2007/08/20 17:42
S10 4	29090	(index or portfolio) near2 change	US-PGPUB; USPAT	OR	ON	2007/08/20 17:42
S10 5	14092	(index or portfolio) near change	US-PGPUB; USPAT	OR	ON	2007/08/20 17:42
S10 6	32854	index near3 change	US-PGPUB; USPAT	OR	ON	2007/08/20 17:42
S10 7	28753	index near2 change	US-PGPUB; USPAT	OR	ON	2007/08/20 17:42
S10 8	13882	index near change	US-PGPUB; USPAT	OR	ON	2007/08/20 17:43
S10 9	231	portfolio near change	US-PGPUB; USPAT	OR	ON	2007/08/20 17:43

## EAST Search History

S11 0	122645	allowable or limit with S109	US-PGPUB; USPAT	OR	ON	2007/08/20 17:44
S11 1	122652	allowable or limit same S109	US-PGPUB; USPAT	OR	ON	2007/08/20 17:44
S11 2	1	(allowable or limit) near3 S109	US-PGPUB; USPAT	OR	ON	2007/08/20 17:45
S11 3	2	(allowable or limit) with S109	US-PGPUB; USPAT	OR	ON	2007/08/20 17:46
S11 4	10	(allowable or limit) same S109	US-PGPUB; USPAT	OR	ON	2007/08/20 17:52
S11 5	31	(allowable or limit or maximum or minimum or upper or lower) same S109	US-PGPUB; USPAT	OR	ON	2007/08/20 17:53
S11 6	21	(allowable or limit or maximum or minimum or upper or lower) same S109 not S114	US-PGPUB; USPAT	OR	ON	2007/08/20 18:26
S11 7	61	(maximum with value with (deals or securities or investments)) same (index or portfolio)	US-PGPUB; USPAT	OR	ON	2007/08/21 08:35
S11 8	3	asset adj management adj agreement	US-PGPUB; USPAT	OR	ON	2007/08/21 08:37
S11 9	2947	asset adj management	US-PGPUB; USPAT	OR	ON	2007/08/21 08:37
S12 0	5	asset adj management near2 agreement	US-PGPUB; USPAT	OR	ON	2007/08/21 08:39
S12 1	5	asset adj management near3 agreement	US-PGPUB; USPAT	OR	ON	2007/08/21 08:40
S12 2	6716	(asset or investment or securities) adj management	US-PGPUB; USPAT	OR	ON	2007/08/21 08:40
S12 3	73	management adj agreement	US-PGPUB; USPAT	OR	ON	2007/08/21 08:41
S12 4	279536	securities or investment	US-PGPUB; USPAT	OR	ON	2007/08/21 08:42
S12 5	54	S123 and S124	US-PGPUB; USPAT	OR	ON	2007/08/21 08:42
S12 6	13	S123 with S124	US-PGPUB; USPAT	OR	ON	2007/08/21 09:34
S12 7	308	special adj purpose adj vehicle	US-PGPUB; USPAT	OR	ON	2007/08/21 09:37
S12 8	220086	deal	US-PGPUB; USPAT	OR	ON	2007/08/21 09:35
S12 9	1	S127 with S128	US-PGPUB; USPAT	OR	ON	2007/08/21 09:35
S13 0	3	S127 same S128	US-PGPUB; USPAT	OR	ON	2007/08/21 09:39

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S13 1	86	special adj purpose adj entity	US-PGPUB; USPAT	OR	ON	2007/08/21 09:40
S13 2	0	S128 with S131	US-PGPUB; USPAT	OR	ON	2007/08/21 09:38
S13 3	1	S128 same S131	US-PGPUB; USPAT	OR	ON	2007/08/21 09:38
S13 4	54	S127 and S128	US-PGPUB; USPAT	OR	ON	2007/08/21 09:39
S13 5	377	special adj purpose adj (entity or vehicle)	US-PGPUB; USPAT	OR	ON	2007/08/21 09:40
S13 6	74	S135 and S128	US-PGPUB; USPAT	OR	ON	2007/08/21 10:27
S13 7	9	managed adj note	US-PGPUB; USPAT	OR	ON	2007/08/21 10:07
S13 8	29	(credit adj linked) with note	US-PGPUB; USPAT	OR	ON	2007/08/21 10:27
S13 9	1	schuldschein	US-PGPUB; USPAT	OR	ON	2007/08/21 12:45
S14 0	338	debt adj obligation	US-PGPUB; USPAT	OR	ON	2007/08/21 12:46
S14 1	552457	portfolio or index	US-PGPUB; USPAT	OR	ON	2007/08/21 12:46
S14 2	64	S140 same S141	US-PGPUB; USPAT	OR	ON	2007/08/21 12:47